



COMMISSION NEWS

ARIZONA CORPORATION COMMISSION, 1200 W. WASHINGTON, PHOENIX, AZ 85007

TO: EDITORS, NEWS DIRECTORS
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INVESTOR EDUCATION BROCHURE UNVEILED DURING SAVINGS & INVESTMENT MONTH

PHOENIX – Securities regulators from Arizona to Maine and the Securities Industry Association (SIA) have released a new investor education brochure entitled *Understanding Your Brokerage Account Statements*. The brochure, which provides a “walk-through” of the typical monthly or quarterly account statement, was produced jointly by state securities regulators and the SIA. April is Arizona Savings & Investment Month and the release of this brochure is part of the Arizona Corporation Commission’s ongoing efforts to produce and promote sound investor education resources.

“Regularly reading and understanding account statements is critical to good investing,” said Corporation Commission Chairman Bill Mundell. “This brochure is a straightforward guide to understanding what’s happening to your account and the implications for your financial bottom line.”

“An account statement is an investor’s primary gauge for measuring the progress of his or her investment plan,” Commissioner Jim Irvin said. “A brokerage statement is like a scorecard, covering all the activity in an account over a given period of time.

“It is fitting that this brochure would come out during Arizona Savings & Investment Month. Our objective of educating investors pairs nicely with the release of a brochure that helps investors get in the habit of regularly reviewing their account statements – with an increased level of comprehension,” Commissioner Marc Spitzer added.

Understanding Your Brokerage Account Statements details the common features of most account statements and gives readers a step-by-step checklist on how to review each item. The brochure also includes a

‘frequently asked questions’ section and an extensive glossary of investment terms that account holders may come across while reviewing their statements. Written in simple, direct language, *Understanding Your Brokerage Account Statements* will answer any questions the average investor may have about how to read his or her account statement.

Understanding Your Brokerage Account Statements is available from the Arizona Corporation Commission’s Securities Division at 1300 West Washington Street, 3rd Floor, Phoenix, AZ 85004 or the SIA at 120 Broadway, 35th Floor, New York, NY 10271. An online version of the brochure is available in the Adobe Acrobat file format at http://www.nasaa.org/nasaa/Files/File_Uploads/Acctstatement.37367-68586.pdf. You can also access information from the Securities Industry Association at <http://www.siainvestor.org>.

The Arizona Corporation Commission’s Securities Division can be reached by calling 602-542-4242 or toll free from outside the Phoenix metro area at 1-877-811-3878 or by looking on the Division’s website at www.ccsd.cc.state.az.us.

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